

Docket No. AUS920010687US1

**METHOD, APPARATUS, AND PROGRAM TO PREVENT COMPUTER  
RECOGNITION OF DATA**

**BACKGROUND OF THE INVENTION**

**1. Technical Field:**

5       The present invention relates to data processing and, in particular, to printing documents. Still more particularly, the present invention provides a method, apparatus, and program to prevent computer recognition of data in printed documents.

10      **2. Description of Related Art:**

          A company may invest time and effort into producing a document that holds a value. For example, the document may include information that may be sold or the document may include secret information. However, once the  
15      document is printed, the information may be easily stolen and distributed by transforming the document into an electronic format. This may be done by scanning the document into a computer. Once the document is scanned into an image format, the computer may perform optical  
20      character recognition (OCR) on the scanned image.

          OCR uses known fonts to identify characters in an image. OCR software has internal representations of the images, such as bitmaps, of each character in known fonts and compares them against the scanned document. The OCR  
25      software may then produce a text representation, such as American Standard Code for Information Interchange (ASCII).

          The prior art has focused on techniques for preventing reproduction of documents using copy machines

20040707 04000

Docket No. AUS920010687US1

and facsimile machines. However, OCR remains as a possible method for unauthorized reproduction of a printed document. Therefore, it would be advantageous to provide a method, apparatus, and program to prevent  
5 computer recognition of data in printed documents.

2025-10-10 10:44:00

Docket No. AUS920010687US1

### SUMMARY OF THE INVENTION

5 The present invention provides a text modification mechanism for formatting data such that the information can be reasonably deciphered by a human, but cannot be easily recognized by computer recognition techniques. The text modification mechanism alters printed text so that computer recognition of characters becomes difficult. Modification of the text may be accomplished with manipulation of the fonts or the background. The  
10 mechanism may also modify the text based on user preferences.

106497-01090

**BRIEF DESCRIPTION OF THE DRAWINGS**

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

**Figure 1** is a pictorial representation of a data processing system in which the present invention may be implemented in accordance with a preferred embodiment of the present invention;

**Figure 2** is a block diagram of a data processing system in which the present invention may be implemented;

**Figures 3A** and **3B** illustrate examples of printed text that are modified in accordance with a preferred embodiment of the present invention;

**Figures 4A** and **4B** are examples of screens of display of preferences windows in accordance with a preferred embodiment of the present invention;

**Figure 5** is an exemplary block diagram of a document scanning system in accordance with a preferred embodiment of the present invention;

**Figure 6** is an exemplary block diagram of a document printing system with text modification in accordance with a preferred embodiment of the present invention; and

**Figure 7** is a flowchart of the operation of a document printing system in accordance with preferred embodiment of the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

With reference now to the figures and in particular with reference to **Figure 1**, a pictorial representation of a data processing system in which the present invention may be implemented is depicted in accordance with a preferred embodiment of the present invention. A computer **100** is depicted which includes system unit **102**, video display terminal **104**, keyboard **106**, storage devices **108**, which may include floppy drives and other types of permanent and removable storage media, and mouse **110**. Additional input devices may be included with personal computer **100**, such as, for example, a joystick, touchpad, touch screen, trackball, microphone, and the like. Computer **100** can be implemented using any suitable computer, such as an IBM RS/6000 computer or IntelliStation computer, which are products of International Business Machines Corporation, located in Armonk, New York. Although the depicted representation shows a computer, other embodiments of the present invention may be implemented in other types of data processing systems, such as a network computer. Computer **100** also preferably includes a graphical user interface (GUI) that may be implemented by means of systems software residing in computer readable media in operation within computer **100**.

With reference now to **Figure 2**, a block diagram of a data processing system is shown in which the present invention may be implemented. Data processing system **200** is an example of a computer, such as computer **100** in **Figure 1**, in which code or instructions implementing the

Docket No. AUS920010687US1

processes of the present invention may be located. Data processing system **200** employs a peripheral component interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures such as Accelerated Graphics Port (AGP) and Industry Standard Architecture (ISA) may be used. Processor **202** and main memory **204** are connected to PCI local bus **206** through PCI bridge **208**. PCI bridge **208** also may include an integrated memory controller and cache memory for processor **202**. Additional connections to PCI local bus **206** may be made through direct component interconnection or through add-in boards.

In the depicted example, local area network (LAN) adapter **210**, small computer system interface SCSI host bus adapter **212**, and expansion bus interface **214** are connected to PCI local bus **206** by direct component connection. In contrast, audio adapter **216**, graphics adapter **218**, and audio/video adapter **219** are connected to PCI local bus **206** by add-in boards inserted into expansion slots. Expansion bus interface **214** provides a connection for a keyboard and mouse adapter **220**, modem **222**, and additional memory **224**. SCSI host bus adapter **212** provides a connection for hard disk drive **226**, tape drive **228**, and CD-ROM drive **230**. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

An operating system runs on processor **202** and is used to coordinate and provide control of various components within data processing system **200** in **Figure 2**. The operating system may be a commercially available operating system such as Windows 2000, which is available from Microsoft Corporation. An object oriented programming

Docket No. AUS920010687US1

system such as Java may run in conjunction with the operating system and provides calls to the operating system from Java programs or applications executing on data processing system **200**. "Java" is a trademark of Sun Microsystems, Inc. Instructions for the operating system, the object-oriented programming system, and applications or programs are located on storage devices, such as hard disk drive **226**, and may be loaded into main memory **204** for execution by processor **202**.

Those of ordinary skill in the art will appreciate that the hardware in **Figure 2** may vary depending on the implementation. Other internal hardware or peripheral devices, such as flash ROM (or equivalent nonvolatile memory) or optical disk drives and the like, may be used in addition to or in place of the hardware depicted in **Figure 2**. Also, the processes of the present invention may be applied to a multiprocessor data processing system.

For example, data processing system **200**, if optionally configured as a network computer, may not include SCSI host bus adapter **212**, hard disk drive **226**, tape drive **228**, and CD-ROM **230**, as noted by dotted line **232** in **Figure 2** denoting optional inclusion. In that case, the computer, to be properly called a client computer, must include some type of network communication interface, such as LAN adapter **210**, modem **222**, or the like. As another example, data processing system **200** may be a stand-alone system configured to be bootable without relying on some type of network communication interface, whether or not data processing system **200** comprises some type of network communication interface. As a further example, data processing system **200** may be a personal

Docket No. AUS920010687US1

digital assistant (PDA), which is configured with ROM and/or flash ROM to provide non-volatile memory for storing operating system files and/or user-generated data.

5       The depicted example in **Figure 2** and above-described examples are not meant to imply architectural limitations. For example, data processing system **200** also may be a notebook computer or hand held computer in addition to taking the form of a PDA. Data processing  
10       system **200** also may be a kiosk or a Web appliance.

15       The processes of the present invention are performed by processor **202** using computer implemented instructions, which may be located in a memory such as, for example, main memory **204**, memory **224**, or in one or more peripheral devices **226-230**.

20       In accordance with a preferred embodiment of the present invention, a client computer includes a text modification mechanism for altering printed text so that the information is readable by a human, but cannot be easily recognized by computer recognition techniques. Text may be modified, for example, by changing the rotation of a font, using hollow fonts, using nonstandard fonts, using random spacing offsets.

25       **Figures 3A** and **3B** illustrate examples of printed text modified in accordance with a preferred embodiment of the present invention. In the example shown in **Figure 3A**, attributes of the text are randomized. Examples of attributes that may be randomized may be typeface, size, spacing offsets, and color. In addition, other effects  
30       may be randomly applied to characters, such as bold, italics, underlining, strike-through, subscript, superscript, shadow, and outline. In the example shown



Docket No. AUS920010687US1

in **Figure 3A**, attributes are randomized on a character by character basis; however, attributes may also be randomized on a word by word basis or another basis, such as line by line.

5 As illustrated by the example shown in **Figure 3B**, another way to alter the text may be to have the top half of a character white on a black background and have the bottom half of a character black on a white background. Furthermore, random polygons split in half at some angle  
10 can make computer recognition more difficult.

A person of ordinary skill in the art will recognize that many techniques for modifying text may be used and the present invention is not intended to be limited to the examples shown herein. For example, the text  
15 modification mechanism may also introduce a background image or color. The text modification mechanism may also invert background and foreground for each character.

The text modification mechanism may also introduce a gradient film in the background causing the background to  
20 gradually change in color or intensity from top to bottom, from left to right, or at a given angle. For example, the top of the background may be black, the middle may be gray, and the bottom may be white. Or this example may be reversed. The text modification mechanism  
25 may also cause the color or intensity of the text to gradually change.

The text modification mechanism of the present invention may be embodied within an application that allows a document to be printed, such as a word processor  
30 or Web browser. Alternatively, the text modification mechanism may be a program extension or plug-in. When a text document is printed, text modification mechanism may

2004307 104000

Docket No. AUS920010687US1

automatically alter the properties of the text and/or the background to prevent computer recognition of data. The text may be altered according to user defined properties. These properties may be defined within the application,  
5 extension, or plug-in as the case may be.

In an alternative embodiment, the text modification mechanism may be embodied within a printer driver. Thus, the text modification mechanism may be enabled or configured using a page setup or printer properties  
10 dialog.

The examples shown in **Figures 3A** and **3B** are exaggerated to illustrate the present invention. The modifications to the text and background may be more subtle to allow for a more readable document, while still  
15 preventing computer recognition of characters. When configuring the text modification mechanism, the user may be faced with a tradeoff between readability and non-scannability.

For example, the document may be distributed at a  
20 meeting. In this case, readability of the document is important. The text modification mechanism may then change the font of the text to a nonstandard font and use random spacing offsets. Character recognition algorithms typically recognize common or standard fonts, such as  
25 Arial and Courier. The text modification mechanism may simply change the font of the text to a nonstandard or uncommon font that is still easy to read. Thus, the document will be very easy to read, but computer recognition of the font will be difficult.

30 As another example, a document may consists of hundreds of pages of computer code that will be printed and stored in a file cabinet as evidence of reduction to

2004207 010803

Docket No. AUS920010687US1

practice. The printed document is not likely to ever be read. However, the computer code may contain sensitive secret information. In this case, readability is not important, but it is very important that the data is not computer recognizable. The text modification mechanism may then use every technique to obfuscate the text making optical character recognition extremely difficult.

Examples of screens of display of preferences windows are shown in **Figures 4A** and **4B** in accordance with a preferred embodiment of the present invention.

Particularly, with reference to **Figure 4A**, the screen comprises window **400**, including a title bar **402**, which may display the name of the application program. Title bar **402** also includes a control box **404**, which produces a drop-down menu (not shown) when selected with the mouse, and "minimize" **406**, "maximize" or "restore" **408**, and "close" **410** buttons. The "minimize" and "maximize" or "restore" buttons **406** and **408** determine the manner in which the program window is displayed. In this example, the "close" button **410** produces an "exit" command when selected. The drop-down menu produced by selecting control box **404** includes commands corresponding to "minimize," "maximize" or "restore," and "close" buttons, as well as "move" and "resize" commands.

The preferences window display area includes a dialog area **412** in which preferences may be set or modified. In the example shown in **Figure 4A**, the user may enable "Random Fonts," "Background Image," and "Reversing." For example, the user may enable "Random Fonts" to instruct the text modification mechanism to randomize attributes of the fonts. The preferences dialog area **412** may also include preferences for actual

Docket No. AUS920010687US1

attributes that may be set for the random fonts, such as  
"Include Nonstandard Fonts," "Include Hollow Fonts,"  
"Rotate Fonts," and "Random Spacing Offsets." Other  
attributes may be included in the preferences within the  
5 scope of the present invention, such as color and  
effects.

The user may enable "Background Image" to introduce  
a background image to the document. A background image  
that allows text to be humanly readable while preventing  
10 computer recognition of characters may be preselected.  
Although not shown in the example in **Figure 4A**, the  
preferences dialog area may include a field in which a  
background image filename may be entered. The  
preferences dialog may also include a "Browse" button to  
15 allow a user to browse files to select a background  
image.

The user may also enable "Reversing" to instruct the  
text modification mechanism to reverse the background and  
foreground of characters and portions of characters. As  
20 shown in preferences dialog area **412**, a "Random Polygons"  
preference may be set to introduce random polygons split  
in half at some angle. Thus, each character may be  
outlined by a random polygon that is split in half. One  
half of the polygon can have black text on a white  
25 background and the other half of the polygon can have  
white text on a black background, for example. Further,  
an "Alternate Characters" preference may be set to  
reverse every other character.

As stated above, many techniques for modifying text  
30 may be used within the scope of the present invention and  
the user may be faced with a tradeoff between readability  
and non-scannability. The more text modification

Docket No. AUS920010687US1

techniques that are used and the greater the extent to which the attributes are altered, the less readable the text will become. However, if fewer text modification techniques are used and if the extent to which the attributes are altered is less, more characters will be recognized by OCR.

Turning now to **Figure 4B**, a preferences window **400** is shown with a readability slide bar **452**. A user may use the readability slide bar to set a readability value. The text modification mechanism may then enable modification techniques and set limits based on the readability value. For example, readability slide bar **452** may allow a user to set a value within a range, such as between ten and one.

As an example, the text modification mechanism may be configured to introduce a first set of nonstandard fonts at a first value and a second set of nonstandard fonts at a second value. Limits to font sizes may vary with the readability value. As a further example, the text modification mechanism may introduce one of a set of background images based on the readability value.

In a preferred embodiment of the present invention, the user may define and save a set of preferences for each readability value. Thus, the user may control the manner in which the text and/or background is altered at varying degrees of readability. In an alternative embodiment, the text modification mechanism may be configured to automatically determine preferences based on a readability value.

**Figure 5** is an exemplary block diagram of a document scanning system in accordance with a preferred embodiment of the present invention. Document scanning system **500**

Docket No. AUS920010687US1

includes a controller **502**, a display interface **504**, a user interface **506**, a scanner interface **508**, a document viewer **510**, and an optical character recognition (OCR) tool **512**. The elements **502-512** may be implemented as hardware, software, or a combination of hardware and software. In a preferred embodiment, the elements **502-512** are implemented as software instructions executed by one or more processors.

The elements **502-512** are coupled to one another via the control/data signal bus **520**. Although a bus architecture is shown in **Figure 5**, the present invention is not limited to such. Rather, any architecture that facilitates the communication of control/data signals between elements **502-512** may be used without departing from the spirit and scope of the present invention. The controller **502** controls the overall operation of the document viewer and orchestrates the operation of the other elements **504-512**.

With the operation of the present invention, document viewer **510** instructs controller **502** to display a document via display interface **504**. Document viewer **510** may acquire documents from a scanning device via scanning interface **508**. The user interacts with the document viewer via user interface **506**. OCR tool **512** performs image processing functions to recognize text characters in the document.

**Figure 6** is an exemplary block diagram of a document printing system with text modification in accordance with a preferred embodiment of the present invention.

Document viewing system **600** includes a controller **602**, a display interface **604**, a user interface **606**, a printer

Docket No. AUS920010687US1

interface **608**, a document viewer **610**, and a text  
modification tool **612**. The elements **602-612** may be  
implemented as hardware, software, or a combination of  
hardware and software. In a preferred embodiment, the  
5 elements **602-612** are implemented as software instructions  
executed by one or more processors.

The elements **602-612** are coupled to one another via  
the control/data signal bus **620**. Although a bus  
architecture is shown in **Figure 6**, the present invention  
10 is not limited to such. Rather, any architecture that  
facilitates the communication of control/data signals  
between elements **602-612** may be used without departing  
from the spirit and scope of the present invention.  
The controller **602** controls the overall operation of the  
15 document viewer and orchestrates the operation of the  
other elements **604-612**.

With the operation of the present invention,  
document viewer **610** instructs controller **602** to display a  
document via display interface **604**. The user interacts  
20 with the document viewer via user interface **606**. Text  
modification tool **612**, when enabled, modifies the  
attributes of text in a printed document so that computer  
recognition of the characters will be difficult.  
Document viewer **610** may retrieve documents from a remote  
25 location.

In accordance with a preferred embodiment of the  
present invention, text modification tool **612** modifies  
attributes of a document presented by document viewer  
**610**. The manner in which the document attributes are  
30 modified may be defined by the user using the user  
interface. The user may select text modification

1004207.010803

Docket No. AUS920010687US1

preferences, such as the preferences illustrated in **Figures 4A** and **4B**. These parameters may be stored in preferences **614** and text modification tool **612** may use the preferences to determine the attributes for the printed document.

Turning next to **Figure 7**, a flowchart of the operation of a document printing system is shown in accordance with preferred embodiment of the present invention. The process begins and receives a print request (step **702**). Then, the process retrieves user preferences (step **704**) and modifies the document attributes according to the user preferences (step **706**). Next, the process prints the document (step **708**) and ends.

The modifications to the document attributes may include altering text attributes, such as typeface and size, and background attributes, such as background image or gradient film. As stated above, many other techniques for obfuscating the printed text may be used to prevent computer recognition of data within the scope of the present invention.

Thus, the present invention solves the disadvantages of the prior art by providing a mechanism for formatting data such that the information can be reasonably deciphered by a human, but cannot be easily recognized by computer recognition techniques. The text modification mechanism alters printed text so that computer recognition of characters becomes difficult. The text modification techniques and the extent to which the text and background of the image are altered may be controlled by the user with user preferences. Thus, the user may easily control the readability of the document, while



Docket No. AUS920010687US1

still preventing computer recognition of the text characters.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

The description of the present invention has been presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.